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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/685,762	10/09/2000	Eric Sean Parham	066303.0170	3949

7590 12/04/2003

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EXAMINER

SHAH, CHIRAG G

ART UNIT	PAPER NUMBER
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2664

DATE MAILED: 12/04/2003

11

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/685,762

Applicant(s)

PARHAM ET AL.

Examiner

Chirag G Shah

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 9/10/03.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1, 2, 6-8, 10 and 11 rejected under 35 U.S.C. 103(a) as being unpatentable over Hamdi in view of Telcordia (Release Date: August 14, 2000).

Referring to claims 1, 2, 6-8, 10, and 11, Hamdi discloses in pages 104-111 of an interworking function (IWF), which performs all protocol conversions and data adaptations. An IWF device may be used to connect two networks or a terminal to a network. IWF provides signaling adaptation, media control and media adaptation for voice services. In addition, Hamdi discloses H.323 systems that include three types of equipment: gatekeeper, gateways, and terminals. Hamdi discloses on page 106 of SS7 network signaling format. The gateway is responsible for providing all translations necessary for transmission formats and control procedures between the IP supported portion and the PSTN/ISDN part of hybrid calls as claims. Thus, Hamdi discloses of a system for interfacing between signaling protocol including gateway operable to receive signaling information in a message based on a signaling format from a switch. The gateway receives voice signal for PSTN and places the voice signals into data packets for transfer to an Internet protocol network with the signaling information. Hamdi fails to explicitly disclose that the switch is of a Class 5 softswitch. Telcordia Call Agent discloses on

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page 1, paragraphs 1 and 2 of the Telcordia Call Agent that interoperates with PBXs between 11 CTC sites, demonstrating the ability of the Class 5 softswitch to provide voice connectivity and features through a VoIP network. In addition, Telcordia discloses in paragraph 2 of page 2 that the Telcordia Call Agent serves as the core software framework for CTC's IntelliNET and thus, the call agent will bring a set of local voice telephony services, including PBX interoperability and PSTN interoperability. Therefore, it would have been obvious to one of ordinary skill in the art to modify the teachings of Hamdi to incorporate deployment of class 5 softswitch as the switch in order to enhance and provide fast delivery for service applications with call requirements that span high-speed traffic.

3. Claims 3-5, 12-15 and 17-19 rejected under 35 U.S.C. 103(a) as being unpatentable over Hamdi in view of Telcordia as applied to claims 1, 2, 6-8, 10 and 11 above, and further in view of Symk (WO 00/35157).

Referring to claims 5, 14 and 19, Hamdi in view of Telcordia discloses of a signaling adaptation function and teaches that if two different protocols are used in the interconnected networks, the IWF should translate the signaling messages in such a way that the end-to-end call can be completed. Thus, using a H.323 gateway is able to accomplish this. Hamdi in view of Telcordia explicitly fails to teach a system for interfacing between signaling protocols wherein the gateway is operable to receive signaling information in a H.248 protocol format. However, Smyk teaches on page 1, line 12-20, page 4, line 25-34, page 7, line 10-17, and page 12, line 26-34 that signaling between the access gateway and the service manager uses the media gateway control protocol (MGCP) or its successor (i.e., H.248). Therefore, it would have been obvious to one skilled in the art to include H.248 as taught by Smyk into Hamdi in view of Telcordia's

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invention in order to provide and disclose multiple signaling protocol formats with capability of interworking between network to enhance applicability in various scenarios.

Referring to claims 3, 4, 12, 13, 15, 17, 18, Hamdi in view of Telcordia teaches on pages 106 and 107 of signaling adaptation function and specifically that Q.931 signaling messages are processed in the gateway. Since IP/PSTN gateways are usually seen as administrative boundaries between a network provider and a network customer, they are connected to the network as terminals. As further illustrated in figures, 4 and 5 and respective portions of the specification, the data packets and the signaling information are transferred over a common physical link between gateway 1 and 2 as claims. Hamdi in view of Telcordia teaches that the softswitch will bring a set of local voice telephony services, including PBX interoperability and PSTN interoperability. Hamdi in view of Telcordia fails to explicitly teach of providing the gateway with signaling format wherein the data packets and the signaling information are transferred over separate logical links. Hamdi in view of Telcordia also fails to teach that the IP network has no link to the Class 5 softswitch other than through the gateway. Smyk teaches in the figure and respective specification that data packets and the signaling information are transferred over separate logical links since, either an SM or a class 5 switching system may be implemented. Smyk further teaches that in order or IP circuits to have access to Class 5 switch, it must go through the gateway since the gateway is continually monitoring to detect a call origination as indicated on page 7. Therefore, it would have been obvious to modify the invention of Hamdi in view of Telcordia to include the features of Smyk in order to monitor and easily identify which logical link failure during an attempt to establish a connection when having separate logical links.

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4. Claims 9 rejected under 35 U.S.C. 103(a) as being unpatentable over Hamdi in view of Telcordia further in view of Smyk as applied to claims 3-5, 12-15 and 17-19 above, and further in view of Christie (U.S. Patent No. 6,463,052).

Referring to claim 9, Hamdi in view of Telcordia further in view of Smyk teaches a system further comprising a class 5 softswitch operable to receive signaling information in a network signaling format, the class 5 switch operable to convert the network signaling format to the message based signaling format. Hamdi in view of Telcordia further in view of Smyk fails to teach that of network signaling format is a C7 signaling format. Christie teaches in column 3 of a processing system comprising an interface that is operational to receive and transmit signaling including a translator to identify particular information in the received signaling. Christie further teaches in column 14 and claims 1 and 6 and respective portions of the specification of the translator within the processor being able to convert from S7 to C7 signaling formats. Therefore, it would have been obvious to including having a C7 signaling format as taught by Christie in order to interoperate and diversify the usability in multiple applications.

***Response to Arguments***

5. Applicant's arguments with respect to claims 1-20 have been considered but are moot in view of the new ground(s) of rejection.

**Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**Or faxed to:**

(703)305-3988, (for formal communications intended for entry)

**Or:**

(703)305-3988 (for informal or draft communications, please label "Proposed" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park II, 2021 Crystal Drive, Arlington, VA., Sixth Floor (Receptionist).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chirag G Shah whose telephone number is 703-305-5639. The examiner can normally be reached on M-F 8:30 to 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wellington Chin can be reached on 703-305-4366. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9314 for regular communications and 703-872-9314 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

cgs  
November 20, 2003

